

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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**James R Banowsky
Lee & Hayes PLLC
421 W Riverside Avenue
Suite 500
Spokane, WA 99201**

OC000000005566718

Date Mailed: 11/20/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- o The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
 - o Total additional claim fee(s) for this application is \$948.
 - **\$558** for **31** total claims over 20.
 - **\$390** for **5** independent claims over 3 .
 - o The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
 - o To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- 70981
- o **The balance due by applicant is \$ 1768.**

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

file:///C:/APPS/PreExam/correspondence/2 B.xml

DATE	DESCRIPTION	AMOUNT	BALANCE
01/12/2001	ASSTITCH	000000094	120769
01/12/2001	FC-01	710.00	CH
02/12/2001	FC-02	130.00	CH
03/12/2001	FC-03	570.00	CH
04/12/2001	FC-04	490.00	CH

11/18/00

PART 2 - COPY TO BE RETURNED WITH RESPONSE



SECTOR

43

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. 09/670,981
Filing Date 9/26/2000
Inventorship Falcon et al.
Applicant Microsoft Corporation
Attorney's Docket No. MS1-658US
Title: Systems and Methods for Controlling the Number of Clients that Access a Server

TRANSMITTAL LETTER AND CERTIFICATE OF MAILING

To: Commissioner of Patents and Trademarks,
Washington, D.C. 20231

From: James R. Banowsky (Tel. 509-324-9256; Fax 509-323-8979)
Lee & Hayes, PLLC
421 W. Riverside Avenue, Suite 500
Spokane, WA 99201

The following enumerated items accompany this transmittal letter and are being submitted for the matter identified in the above caption.

1. Transmittal letter including Certificate of Mailing
2. Notice to File Missing Parts of Application
3. Executed Declaration
4. Fee Transmittal
5. Return Post Card

Large Entity Status ☒ Small Entity Status ☐

Applicant hereby requests an extension of time in any case such an extension is necessary. The fee should be charged to the Deposit Account indicated below.

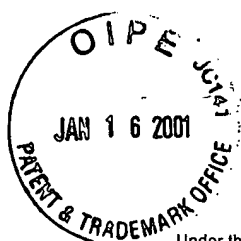
The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit Account No. 12-0769 in connection with any patent application filing fees under 37 CFR 1.16, and any processing fees, including any necessary extension fees under 37 CFR 1.17.

Date: 1/9/01 By: James R. Banowsky
Reg. No. 37,773

CERTIFICATE OF MAILING

I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal Service as either first class mail, or Express Mail if the blank for Express Mail No. is completed below, in an envelope addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the below-indicated date. Any Express Mail No. has also been marked on the listed items.

Express Mail No. (if applicable)
Date: 1/9/2001 By: Dana L. Calhoun
Dana L. Calhoun



PTO/SB/17 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$) 1798⁰⁰

Complete if Known

Application Number	09/670,981
Filing Date	9/26/2000
First Named Inventor	Vetrivelkumaran
Examiner Name	
Group Art Unit	
Attorney Docket No.	MSI-658US

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																																					
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 12-0769 Deposit Account Name: Lee & Hayes PLLC <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES																																																																																																																																					
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SUBMITTED BY

Name (Print/Type) James R. Banowsky
Signature [Signature]

Registration No. 37,773
(Attorney/Agent)

Complete (if applicable)

Telephone (509) 324-9256

Date 1/9/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.